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August 5, 2009

Honorable William J. Murray, Jr.
Presiding Judge of the San Joaquin County Superior Court
222 E. Weber Avenue, Room 303
Stockton, CA 95202

Re: San Joaquin County Grand Jury Final Report (Case No. 03-08)
Information Technology Services

Dear Judge Murray:

The City of Lodi has reviewed the 2008/2009 San Joaquin County Grand Jury Case No. 03-08 regarding Information Technology Security for the Cities and San Joaquin County, issued on June 2, 2009. The Council has seriously considered the findings and recommendations of the Grand Jury applicable to the City of Lodi, discussed the same with its professional staff and pursuant to Penal Code section 933.05, submits its comments as set forth below.

As required by Penal Code section 933(c), the Council's comments were approved at the Council's regularly scheduled meeting of August 5, 2009.

City of Lodi's Comments to Grand Jury Final Report (Case No. 03-08):

Finding 1

Well documented security policy with plans for continuing staff refresher classes.

City's response: Agree with finding.

Finding 2

Well documented disaster and recovery plan.

City's response: Agree with finding.

Finding 3

Policy and devices do restrict unauthorized connections to the city network.

City's response: Agree with finding.

Finding 4

The current location of city data center and backup generator are below ground level.

City's response: Agree with finding.

Finding 5

Web filtering is in place, but access to private e-mail accounts has been allowed.

City's response: Agree with finding.

Finding 6

Independent IT support of Finance Department should be answerable to central IT policies and lacks internal controls.

City's response: Disagree with finding. The Finance Division is a part of the Internal Services Department, along with the Information Services Division. Both divisions report to the Deputy City Manager/Internal Services Department Director who exercises oversight and control over both divisions.

Finding 7

Web e-mail accounts and independent IT support for Finance violates sound security.

City's response: Disagree with finding. Access to web e-mail accounts, in itself, is not a security issue. A security issue may arise, depending upon the activities undertaken, while using the web e-mail account. The City's web filtering software significantly minimizes any risks. Further, the City's Network Access Policy restricts web access to business-related purposes and all employees are aware of this policy. As noted in the response to Finding 6, there is no independent IT support for the Finance Division.

Recommendations:

- a) **Develop plans and preparations for the relocation of the data center to a more secure location.**

City's response: The recommendation will not be implemented; but it will be given further analysis. The report infers that because the City's data center is below ground level it is not secure; this is incorrect. While the data center is located below ground level, it is in a secure location with a nominal risk of damage from environmental factors. However, the City will give this matter further analysis since it has applied for American Recovery and Reinvestment Act funding (Stimulus funding) to rehabilitate other City-owned space for use as a new data center.

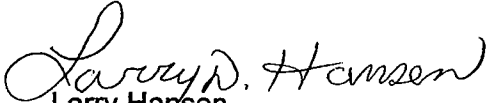
- b) **Extend IT security policy to restrict access to external e-mail accounts from the city network.**

City's response: The recommendation will not be implemented. Access alone to external e-mail systems is not a security issue. Although a security issue may arise, depending upon the activities undertaken while using the web e-mail account, the City's web filtering software significantly minimizes any risks. Additionally, the City's network access policy restricts web access to business related purposes and all employees are aware of this policy. Finally, there are certain City activities that are best handled under e-mail accounts that are not readily identifiable to the City, for example, the Police Department in undercover investigations. A blanket prohibition of external e-mail systems is not in the City's best interest.

c) **Ensure all IT support staff functions under unified policies and management.**

City's response: The recommendation was already in place. Central IT staff is all in the Internal Services Department and are directly accountable to the Deputy City Manager/Internal Services Director. All IT staff follow the same set of policies and procedures.

Respectfully submitted,


Larry Hansen
Mayor

cc: City Council
Blair King, City Manager
Jordan Ayers, Deputy City Manager/Internal Services Director
D. Stephen Schwabauer, City Attorney
Steve Mann, Information Systems Division Manager